

**SETAC Europe 25th Annual Meeting**

# **A proposal for a chemical assessment concept for the protection of raw water resources under REACH**

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## Outline

### **INTRODUCTION**

PROTECTION OF RAW WATER RESOURCES

SUBSTANCE PROPERTIES THAT CAUSE A CONCERN

### **ASSESSMENT CONCEPT WITHIN REACH**

STEP 1: ASSESSMENT OF P PROPERTIES

STEP 2: ASSESSMENT OF M PROPERTIES

STEP 3: ASSESSMENT OF T PROPERTIES

### **VALIDATION WITH DATA FROM MONITORING**

### **OUTLOOK: RISK MANAGEMENT AND REGULATORY OPTIONS**

### **CONCLUSIONS**

## Protection of Raw Water Resources

- In Europe drinking water is obtained mainly from groundwater, reservoirs or river bank filtration.
- If these environmental compartments are exposed to chemicals a contamination of drinking water is possible.

**⇒ PRECAUTIONARY PRINCIPLE MUST APPLY  
TO PROTECT THE RAW WATER RESOURCES.**

- REACH Regulation (1907/2006 EG): registrant guarantees the safe use of chemicals throughout the whole life cycle.
- The risk assessment includes the evaluation of the hazardous substance properties and the exposure of the environment

**⇒ WHICH SUBSTANCE PROPERTIES CAUSE  
A CONCERN TO RAW WATER RESOURCES?**

Overall in Europe water use is characterized by 65% ground water and 35% surface water (EU COM 2013)

**REACH:**  
Regulation concerning the  
Registration, Evaluation,  
Authorisation and Restriction  
of Chemicals

## Substance properties that cause a concern

- Fate and behaviour of polar substances have been investigated both scientifically and from a regulatory perspective.
- Hazard potential is maximised if chemicals are at the same time **mobile** in the water cycle and **persistent** in the environment

⇒ SEVERAL NAMES AND TERMS INDICATE THAT SUBSTANCE PROPERTIES AND HAZARD ARE COMPARABLE TO THOSE OF POPs AND PBTs.

- Consequently: Emission and impact are separated in time and space and remediation may not be possible
- If those chemicals are **toxic**: No “save” emissions into the environment and No risk based assessment approach

⇒ NO STUDY YET HAS PROPOSED CRITERIA OR AN ASSESSMENT CONCEPT WITHIN REACH

**PPOP or polar POPs**  
polar persistent organic pollutant  
(Giger et al., 2005)

**P<sup>3</sup> substances or PPPs**  
persistent polar pollutants  
(Reemtsma & Jekel, 2006)

**NANA**  
nicht abbaubar &  
nicht adsorbierbar

not degradable &  
not adsorbable

**PMT-substances**  
persistent in the environment  
and mobile in the water cycle  
and toxic

## Step 1: Assessment of P properties

- Criteria adopted from the Annex XIII of the REACH Regulation; tiered approach includes screening and assessment steps
- Assessment of PBT properties is included in registration of uses > 10 t/year

### P criteria (half live at 12°C)

freshwater > 40 d

sediment > 120 d

soil > 120 d

marine water > 60 d

marine sediment > 180 d

**=> NO ADDITIONAL WORKLOAD FOR REGISTRANTS**

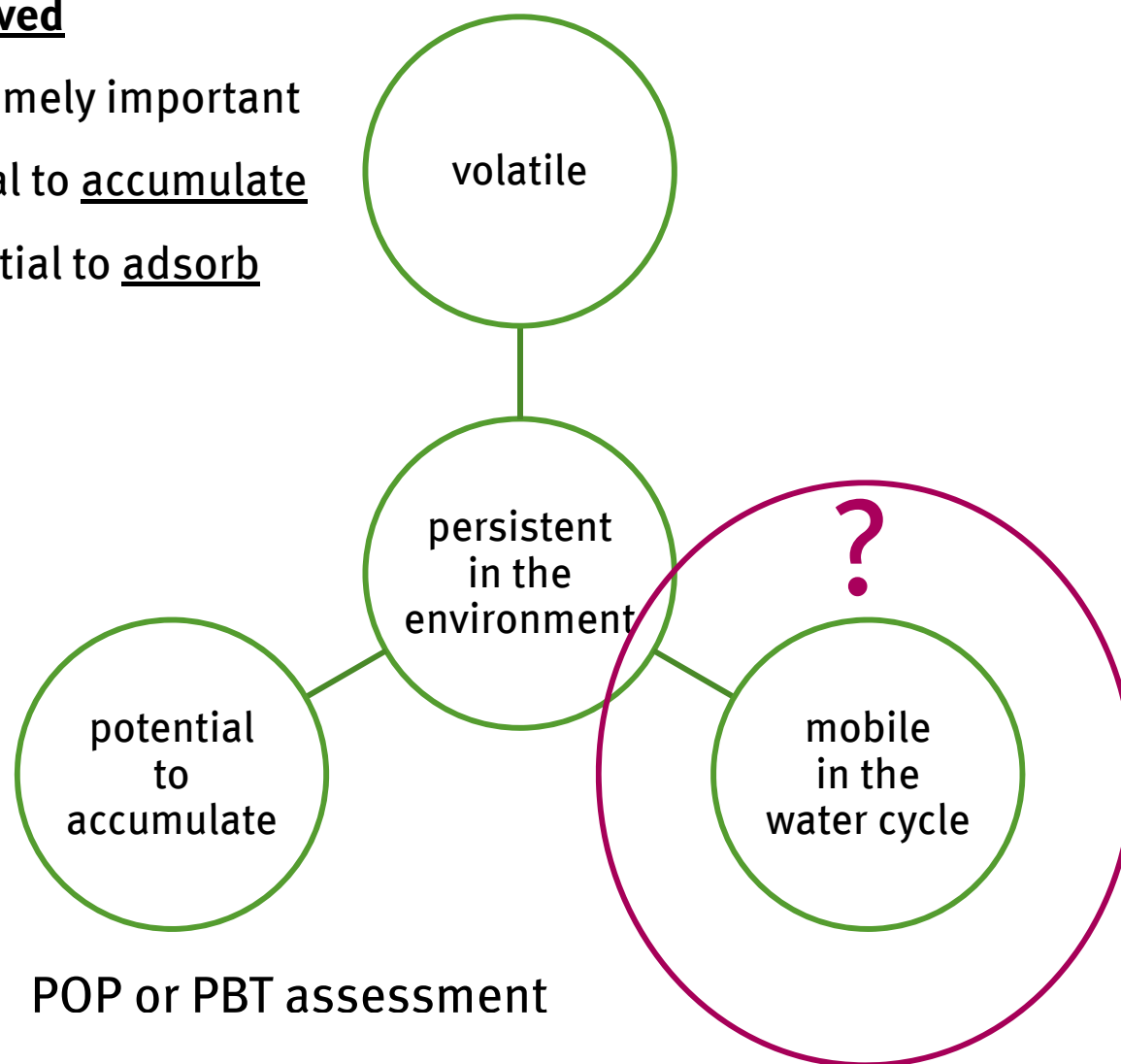
- Proposed decision tree focuses on the freshwater criteria, however, a proof in one environmental compartment is sufficient to fulfil “P”
- Proposed tiered approach address primary/ultimate degradation (coverage of transformation products)

**=> A SUBSTANCE IS “P” IF IT FULFILS THE CRITERIA FOR PERSISTENCE IN THE ANNEX XIII OF THE REACH REGULATION**

## Mobility

- ... is the ability to move or to be moved
- First guess: water solubility is extremely important
- Not exactly the opposite of potential to accumulate
- Second Thought: opposite of potential to adsorb

⇒ **WHICH SUBSTANCE PROPERTY ULTIMATELY DETERMINES MOBILITY IN THE WATER CYCLE?**



## Modelling Approach

- We used the common REACH model ECETOC TRA
- We calculated concentrations in surface water and groundwater (maximum => drinking water)
- Default scenario
  - Identical concentrations in the inlet of the sewage treatment plant
  - No biodegradation
- 64 substances with wide ranges for all substance properties

European Centre for  
Ecotoxicology and Toxicology  
of Chemicals:  
The Targeted Risk Assessment  
(TRA) tool for estimating  
exposures e.g. to the  
environment  
version 3 was launched  
in April 2012.

Table 12: Descriptive statistics for the sample used in ECETOC TRA modelling

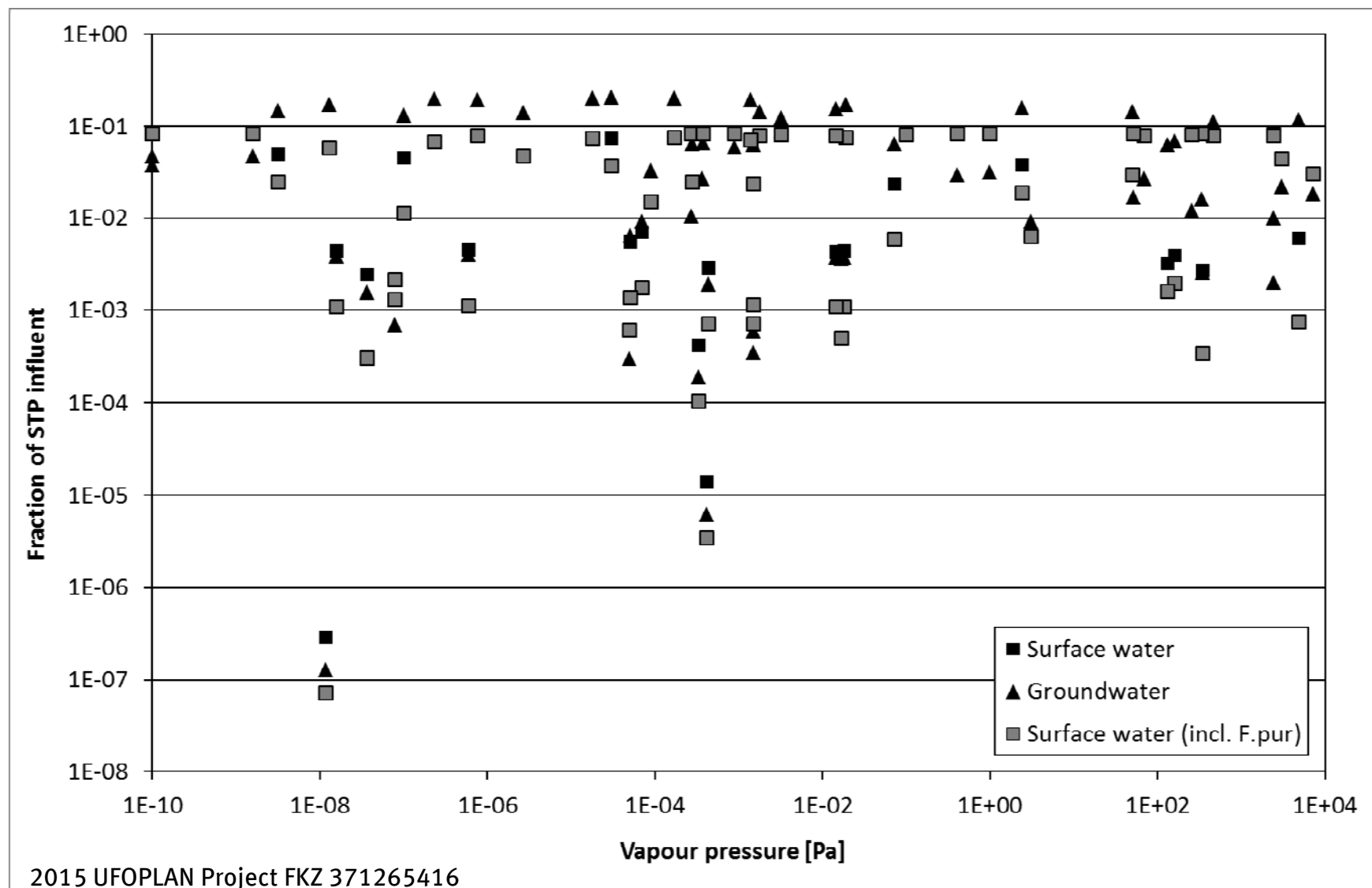
	MW (g/mol)	log $K_{oc}$	WS (mg/L)	VP (Pa)	HLC (Pa m <sup>3</sup> /mol)	log $K_{ow}$	<del>P/Not P</del>
N	64	64	64	64	64	64	64
AM	315	3.67	75,198	340	6,249	3.13	100% <del>P: 55%</del> <del>Not P: 45%</del>
Median	293	3.23	36.1	0.00145	0.0168	2.80	
25 <sup>th</sup> perc.	193	1.76	0.475	0.0000142	0.000094	0.678	
75 <sup>th</sup> perc.	391	5.69	2,298	1.35	6.8	5.43	
MIN	76	-0.320	7.00E-08	1.00E-10	3.65E-13	-3.87	
MAX	781	10.2	910,000	7,263	266,000	17.0	

\* HLC: Henry's law constant (calculated from molecular weight, water solubility and vapour pressure at 20-25 °C); P: Persistent; VP: Vapour pressure, WS: Water solubility

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by Fritz Kalberlah, Jan Oltmanns, Markus A. Schwarz (FoBiG GmbH) & Joachim Baumeister, Albrecht Striffler (denkbare GmbH)

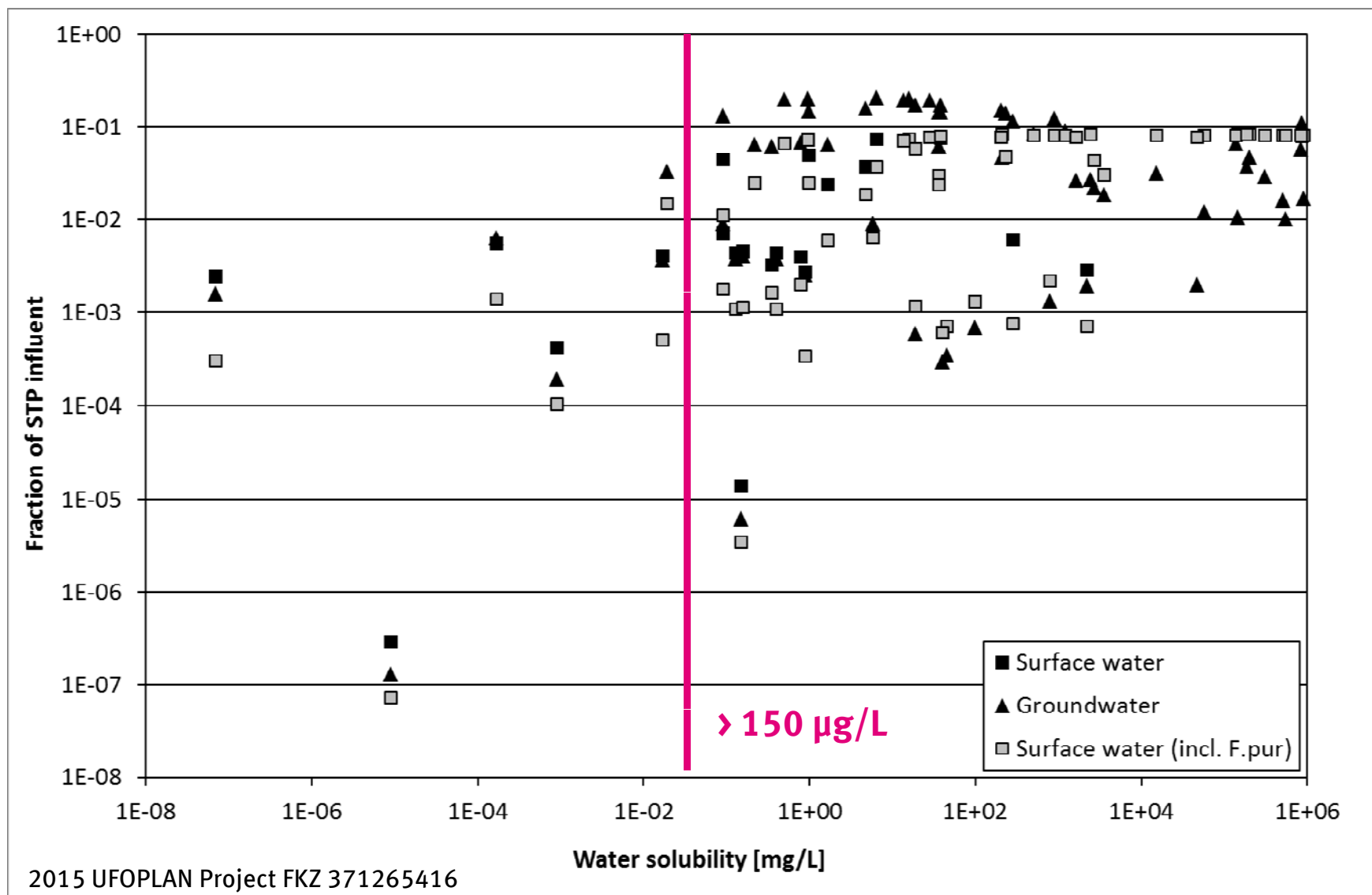
## Vapour Pressure: determinant of mobility?



by Fritz Kalberlah, Jan Oltmanns, Markus A. Schwarz (FoBiG GmbH) & Joachim Baumeister, Albrecht Striffler (denkbares GmbH)

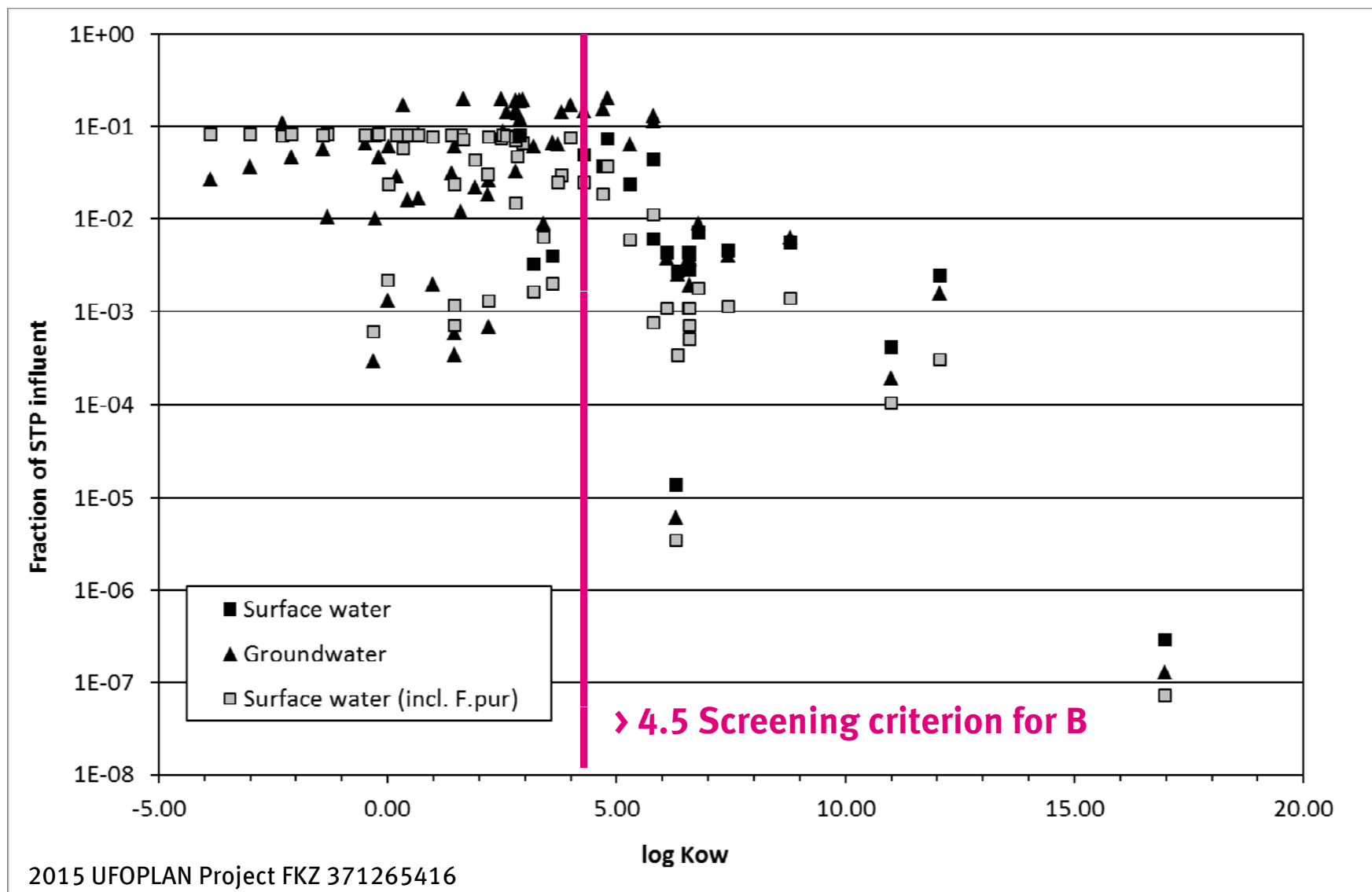


## Water Solubility: determinant of mobility?



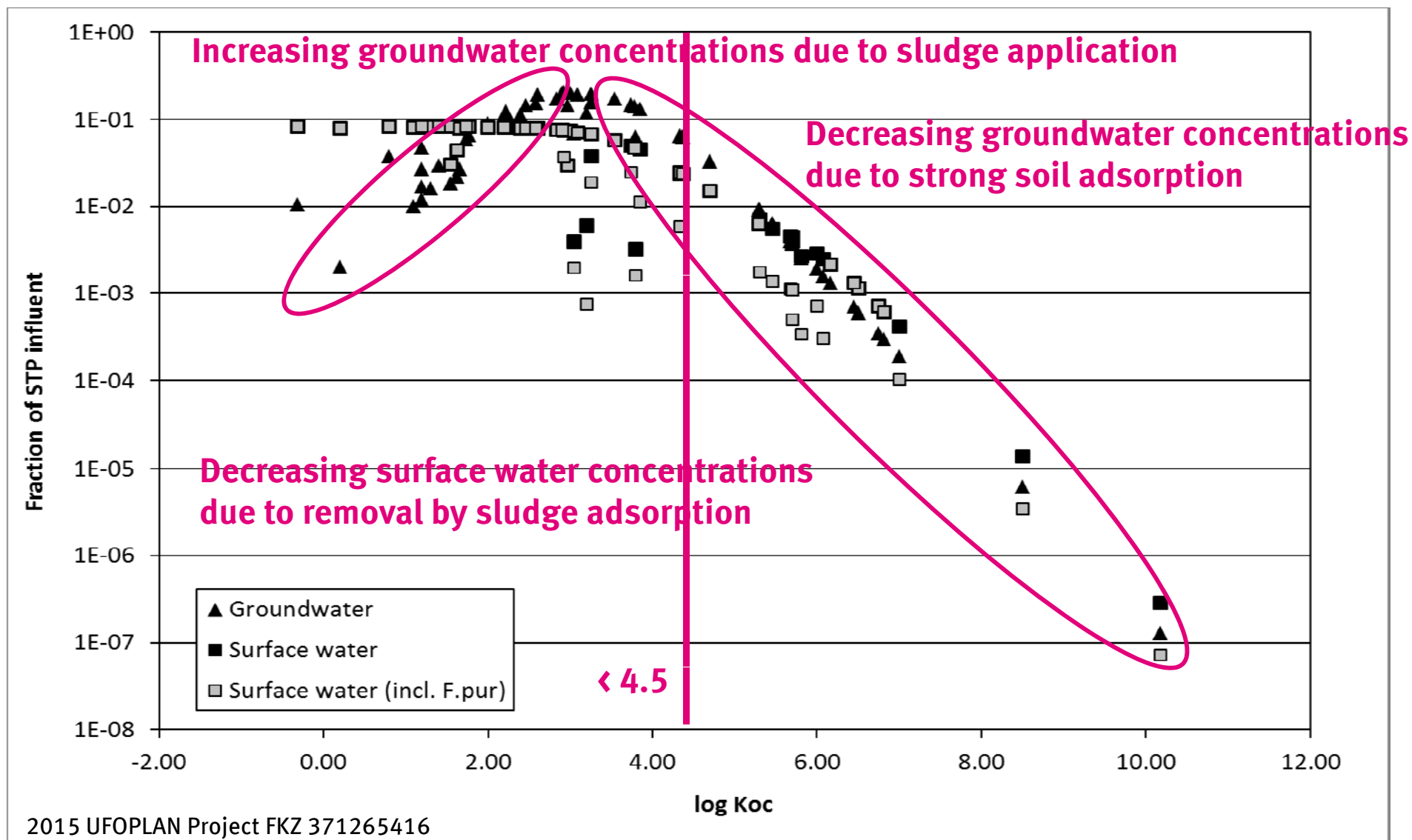
by Fritz Kalberlah, Jan Oltmanns, Markus A. Schwarz (FoBiG GmbH) & Joachim Baumeister, Albrecht Striffler (denkbares GmbH)

## log $K_{ow}$ : determinant of mobility?



by Fritz Kalberlah, Jan Oltmanns, Markus A. Schwarz (FoBiG GmbH) & Joachim Baumeister, Albrecht Striffler (denkbares GmbH)

## log $K_{oc}$ : determinant of mobility!



by Fritz Kalberlah, Jan Oltmanns, Markus A. Schwarz (FoBiG GmbH) & Joachim Baumeister, Albrecht Striffler (denkbares GmbH)

## Step 2: Assessment of M properties

- Proposal to use Soil Organic Carbon-Water Partitioning Coefficient as the criterion to identify substances to be mobile in the water cycle.
- Adsorption needs to be assessed in registration of uses > 10 t/year if ionisable or  $\log K_{ow} \geq 3$

**=> NO ADDITIONAL WORKLOAD FOR REGISTRANTS**

- Potential to adsorb is **not** correlated with BCF-value and consequently an overlap of criteria for “M” and “B” is justified
- For chemicals ionisable within environmental relevant pH-range: no calculation of  $K_{oc}$  but experimental measurement

**=> A PERSISTENT SUBSTANCE IS “M” IF IT FULFILS THE CRITERIA  
WATER SOLUBILITY > 150 µg/L AND  $\log K_{oc} < 4.5$**

**Ionisability:** The property to ionise in water, determined by the  $pK_a$ -value

Neutral, non-ionisable chemicals:  $K_{oc}$  positively correlated with  $K_{ow}$

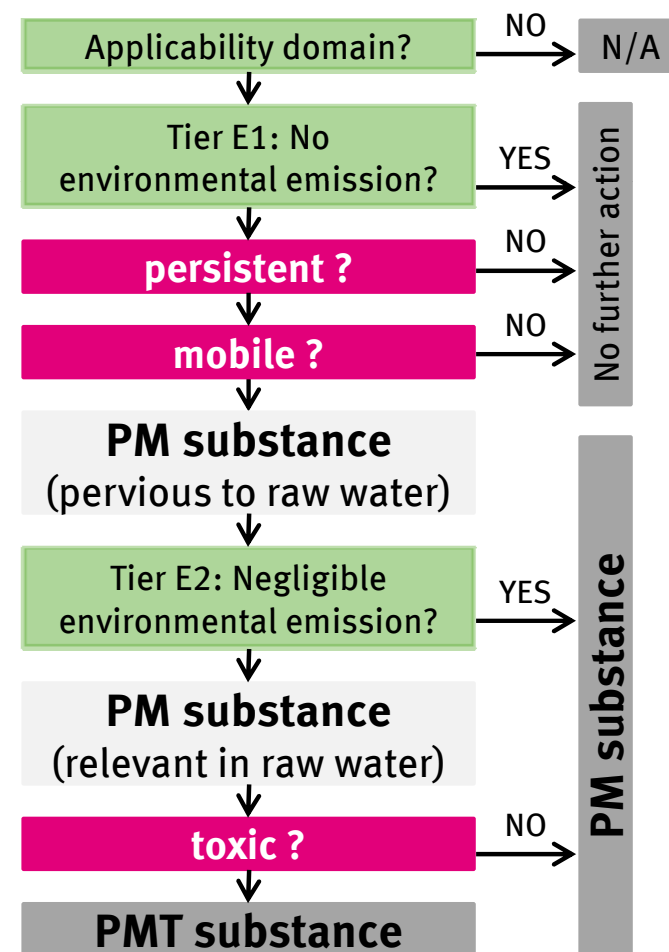
**Triclosan** has  $\log K_{oc}$  of 4.2 and BCF of 2532 and is found at the same time in the water cycle and in biota and humans (Health Canada, 2012)

Proposal: **ionisable** chemicals: if  $pK_a$  for acidic functionality < 8.5 or  $pK_a$  for alkaline functionality > 5.5

## REACH: Assessment concept of PMT properties

- Applicability domain
  - Inorganic substances, organometallic compounds, surfactants  
⇒ **CURRENTLY EXCLUDED**
  - UVCBs and multi constituent substances  
⇒ **ONLY INDIVIDUAL COMPONENTS**
  - Ionic, zwitterionic or ionizable organic compounds  
⇒ **ONLY MEASURED LOGK<sub>OC</sub>**
- Criteria for P & M are independent of uses and emissions
- If exposure assessment was already performed all necessary data for P & M assessment are available
- Otherwise, emission related assessment steps reduce work load
- If criteria for P and M and emissions is fulfilled  
⇒ **ASSESSMENT OF T PROPERTIES IS NECESSARY**

Proposal for a stepwise assessment concept of PMT properties including emission related assessment steps



## Step 3: Assessment of T properties

- Criteria from Annex XIII of REACH regulation:
  - classified as
    - carcinogen Cat. 1A, 1B,
    - germ cell mutagen Cat. 1A, 1B
    - reproductive toxicant Cat. 1A, 1B, 2
    - STOT RE Cat. 1 or 2
- Proposed additional criteria:
  - QSAR or screening indications for CMR or endocrine disrupting properties
  - classified with H362 (“may cause harm to breast-fed children”)
  - listed in e.g. drinking water directive
  - For oral exposure (long-term, general population) the (derived no effect level) **DNEL is  $\leq 9 \mu\text{g}/\text{kg}$  body weight and day**

**=> PRECAUTIONARY PRINCIPLE MUST APPLY TO PROTECT DRINKING WATER**

**STOT RE:** specific target organ toxicity upon repeated exposure

**TTC** (Threshold of toxicological concern) approach was used to derive a **DNEL** (general population, oral, long term) of  $9 \mu\text{g}/\text{kg} \times \text{d}$  as precautionary cut-off

## Validation with data from monitoring

- Literature review of monitoring data
  - water bodies or STP effluent
  - high frequency and / or relevant concentration
  - full registration under REACH

⇒ 9 SUBSTANCES

1 no data “P”&“M”: 4-Benzophenon

⇒ 8 SUBSTANCES

2 PMT-substances: 2,4,6-Trinitrotoluol, Diuron

4 PM-substances: Trichloropropylphosphat, Benzotriazol,  
Tolyltriazol, Acesulfam K

2 high emissions: Bisphenol A, NTA

Monitoring data only available  
for a small fraction of  
chemicals registered under  
REACH

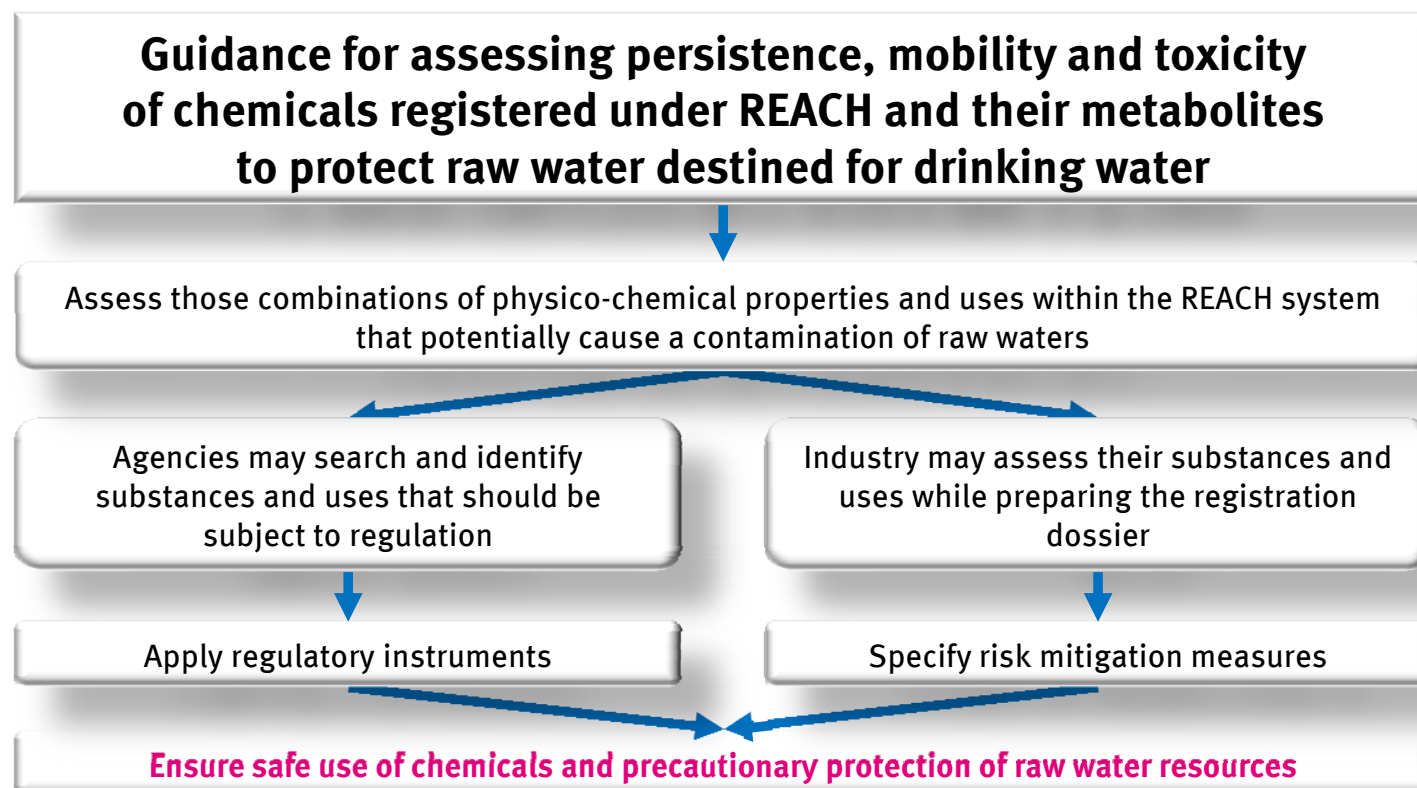
Chemicals found in monitoring  
often with data gaps in the  
registration dossier

⇒ P & M CRITERIA PROVEN FOR 6 OUT OF 8 IN MONITORING.

HOWEVER, ENVIRONMENTAL EXPOSURE MAY ALSO BE CAUSED BY HIGH AND  
CONTINUOUS EMISSIONS ⇒ QUANTITATIVE RISK ASSESSMENT

## Outlook: Risk Management

- The Federal Environment Agency (UBA) aims at supporting industry to fulfil their responsibility by providing guidance.
- In addition, we aim at identifying chemicals for which regulatory action may be necessary.





## Outlook: Regulatory Options

- Ground- and drinking water need highest level of protection.
- Remediation is costly, if possible at all.
- Substances that are persistent in the environment, mobile in the water cycle and toxic cause a concern.
- The same substance properties may hinder the degradation by ozone and UV and the filtration by activated charcoal during the water treatment process.

**⇒ PROPOSAL: PMT-SUBSTANCES MIGHT BE IDENTIFIED AS  
SUBSTANCES OF VERY HIGH CONCERN (SVHC)**

**Authorization:** The use of a chemical is prohibited, unless a specific use is authorized.

**Restriction:** The use of the chemical is generally allowed, unless a certain (or all) use is restricted.

## Conclusions

- REACH Regulation (1907/2006 EG): Precautionary principle needs to be considered to protect the raw water resources.
- Substances that are persistent in the environment, mobile in the water cycle and toxic cause a hazard comparable to POPs and PBTs.
- We propose criteria and an assessment concept which is compatible to the obligations of registrations of uses > 10 t/year
- The Federal Environment Agency (UBA) aims at supporting industry to fulfil their responsibility by providing guidance.
- PMT-Substance may cause a contamination of raw water resources and intrinsic substance properties hinder the water treatment process  
=> identification as substances of very high concern (SVHC)

Propose criteria:

P = PBT-assessment and Annex XIII of the REACH regulation

if P than M = Water solubility > 150 µg/L and logKoc < 4,5

T = Annex XIII or indications or precautionary cut-off DNEL is ≤ 9µg/kg body weight and day

Stepwise approach:  
Emission related assessment steps shall reduce work load

# Thank you for your attention

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